

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

| TRANSMITTAL Filing Date First Named Inventor Application Number 10/010,858 Filing Date December 5, Arumugam M | 2001 | | | | |
|---|---|--|--|--|--|
| | | | | | |
| First Named Inventor Arumugam N | | | | | |
| | Manthiram | | | | |
| (to be used for all correspondence after initial filing) Group Art Unit 1745 | | | | | |
| Examiner Name Not yet assig | gned | | | | |
| Total Number of Pages in This Submission Attorney Docket Number 05670P004 | | | | | |
| ENCLOSURES (check all that apply) | | | | | |
| Fee Transmittal Form Fee Attached Cfor an Application Appeal Composition | osure(s) (please flow): In postcard; and POA (5 y of Notice to File s; PTO-1449B (2 12 cited mission, however, propriate, please | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| Firm or Individual name Charles P. Landrum, Patent Agent; Reg. No. 46,855 | | | | | |
| Signature Charles P Landrum | | | | | |
| Date February 8, 2002 | | | | | |
| CERTIFICATE OF MAILING | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 8, 2002 | | | | | |
| Typed or printed name Reina R. Bernfeld Signature Reina R. Bernfeld Date February 8. | 2002 | | | | |

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction for FY 2002

Patent fees are subject to annual revision.

| OTAL AMOUNT OF PAYMENT | (\$) | 65.00 |
|------------------------|------|-------|
|------------------------|------|-------|

| Complete if Known | | | |
|----------------------|--------------------|--|--|
| Application Number | 10/010,858 | | |
| Filing Date | December 5, 2001 | | |
| First Named Inventor | Arumugam Manthiram | | |
| Examiner Name | Not yet assigned | | |
| Group Art Unit | 1745 | | |
| Attorney Docket No. | 05670P004 | | |

| METHOD OF PAYMENT | FEE CALCULATION (continued) | | | |
|---|--|----------|--|--|
| 1. The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES | | | |
| indicated fees and credit any overpayments to: Deposit | Large Small | | | |
| Account Number 02-2666 | Entity Entity Fee | Fee Paid | | |
| Denosit | Code (\$) Code (\$) | 65.00 | | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | 105 130 205 65 Surcharge - late filing fee or oath | | | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet | | | |
| Applicant claims small entity status. | 139 130 139 130 Non-English specification | | | |
| See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | |
| 2. X Payment Enclosed: Cheek Credit card Money Other | 112 920* 112 920* Requesting publication of SIR prior to Examiner action | | | |
| Crieck Card Corder Other | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action | | | |
| FEE CALCULATION | 115 110 215 55 Extension for reply within first month | | | |
| 1. BASIC FILING FEE Large Entity Small Entity | 116 400 216 200 Extension for reply within second month | | | |
| Fee Fee Fee Fee Description | 117 920 217 460 Extension for reply within third month | | | |
| Code (\$) Code (\$) Fee Paid | 118 1,440 218 720 Extension for reply within fourth month | | | |
| 101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee | 128 1,960 228 980 Extension for reply within fifth month | | | |
| 107 510 207 255 Plant filing fee | 119 320 219 160 Notice of Appeal | | | |
| 108 740 208 370 Reissue filing fee | 120 320 220 160 Filing a brief in support of an appeal | | | |
| 114 160 214 80 Provisional filing fee | 121 280 221 140 Request for oral hearing | | | |
| SUBTOTAL (1) (\$) -0- | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | |
| σσεισικα (ι/ (ψ/ σ | 140 110 240 55 Petition to revive - unavoidable | | | |
| 2. EXTRA CLAIM FEES Fee from | 141 1,280 241 640 Petition to revive - unintentional | ——— | | |
| Extra Claims below Fee Paid | 142 1,280 242 640 Utility issue fee (or reissue) | | | |
| Total Claims20** = X = X = | 143 460 243 230 Design issue fee | | | |
| Independent - 3** = X - 3** = X Multiple Dependent | 144 020 244 010 | | | |
| Multiple Dependent | | | | |
| Large Entity Small Entity | 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Stmt | | | |
| Fee Fee Fee Fee Description | | | | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 581 40 581 40 Recording each patent assignment per property (times number of properties) | | | |
| 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 109 84 209 42 ** Reissue independent claims over original patent | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 | 179 740 279 370 Request for Continued Examination (RCE) | | | |
| and over original patent | 169 900 169 900 Request for expedited examination of a design application | | | |
| SUBTOTAL (2) (\$) -0- | Other fee (specify) | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) | 65.00 | | |

| SUBMITTED BY | Complete (if applicable) | | | applicable) | |
|-------------------|----------------------------------|-----------------------------------|--------|-------------|------------------|
| Name (Print/Type) | Charles P. Landrum, Patent Agent | Registration No. (Attorney/Agent) | 46,855 | Telephone | 512-330-0844 |
| Signature | Charles P. Langher | | | Date | February 8, 2002 |



M

Secte-

PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

on February 8, 2002

Date

Reina R. Bernfeld

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Encl.: Check

Return Postcard

Transmittal cover sheet Fee Transmittal (+ copy)

Response to Notice to File Missing Parts Declaration and Power of Attorney (5 pages)

Copy of Notice to File Missing Parts of Nonprovisional Application

Supplemental Information Disclosure Statement and PTO-1449B (2 pages)

12 Cited References

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.